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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant KARL JACOB HALTINER JR. Filed April 19, 2001
Serial No. 09/838677 Art Unit 1745
Title PLATE CONSTRUCTION OF HIGH TEMPERATURE AIR-TO-AIR HEAT EXCHANGER

REQUEST/PETITION ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Applicant(s) requests (petitions) the Examiner to consider and make of record the reference(s) and/or information on attached PTO 1449.

CHECK ONE: (A, B, or C.)

A. This statement is submitted within 1) three months after the filing date (even if after the first action); or 2) before the mailing date of a first Office Action. No fee, petition or certification is required.

B. This statement is submitted after the period specified in para. A, but before Final Office Action or Notice of Allowance.

CHECK ONE: (1, 2, or 3)

1. I certify that each item of information contained in the attached material was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

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2. I certify that no item of information contained in the attached material was cited in a communication from a foreign patent office in a counterpart foreign application, and each item of information contained in the attached material, to my knowledge, after making reasonable inquiry, was not known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Statement; or

3. Charge the petition fee set forth in 37 CFR 1.17(p) and any deficiency to Delphi Technologies Deposit Account No. 50-0831.

C. This statement is submitted after a Final Office Action or Notice of Allowance, but before payment of the issue fee. Charge the petition fee set forth in 37 CFR 1.17(p) and any deficiency to Delphi Technologies Deposit Account No. 50-0831.

CHECK ONE: (1 or 2)

1. I certify that each item of information contained in the attached material was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

2. I certify that no item of information contained in the attached material was cited in a communication from a foreign patent office in a counterpart foreign application, and each item of information contained in the attached material, to my knowledge, after making reasonable inquiry, was not known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Statement.

REMARKS UNDER 1.98 (a)(3)

Please consider the attached references which have come to the attention of Applicants since the last submission of an IDS. If any fee should be required, please charge the appropriate petition fee to Delphi Technologies Deposit Account No. 50-0831.

Respectfully submitted,



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Enclosure: PTO 1449